Sheet <u>1</u> of <u>4</u>

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U.S. Department of Commerce Patent and Trademark Office

information Disclosure Statement by Applicant (Use several sheets if necessary) Attorney's Docket No. 06868-005002

Application No. 08/984,900

Applicant

Anthony J.F. D'Apice et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

December 4, 1997

1633

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						ूर् ग राग
	AD						2
	AE						CLiv
	AF						F.
	AG						sr.
	AH						2. R.
	AI						2900
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ם [Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	AN	•						
	AO							
-1	AP							

(Other D	ocuments (include Author, Title, Dat	e, and Place of Publication)				
Examiner Initial	Desig. ID	Doc	ument				
Suc	AQ	26-29, 1993	ostracts from the 2nd International Congress on Xenotransplantation, Cambridge, England, Sept29, 1993				
GN	AR	Abstracts from 11th Meeting of Transplant Societ 7, 1993	Abstracts from 11th Meeting of Transplant Society of Australia and New Zealand, Australia, May 5-7, 1993				
	- AS	Statutory Declaration of Nicholas J. Deacon from listed immediately below: Exhibit NJD-1	Victoria, Australia (11/30/00) inluding an exhibit				
	Curriculum Vitae of Nicholas J. Deacon Victoria, Australia (11/20/00) including an exhibit						
listed immediately below:							

Examiner Signature	Date Considered
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	7-30-3
EVAMINED: Initials citation considered. Drawling through citation if no	t in conformance and not considered, Include conv. of this form with

next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06868-005002

Application No. 08/984,900

Sheet 2 of

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Anthony J.F. D'Apice et al.

Filing Date

Other Documents (include Author, Title, Date, and Place of Publication)

Applicant

Group Art Unit

(37 CFR §1.98(b))

December 4, 1997 1633

Examiner Initial	Desig. ID	Document			
		Exhibit JAT-1	Curriculum Vitae of Joseph A. Trapani		
		Statutory Declaration of Mauro S. Sandrin from Victoria, Australia (7/6/00) including exhibits listed immediately below			
		Exhibit MSS-1	Curriculum Vitae of Mauro S. Sandrin		
	AU	Exhibit MSS-2	Flow chart of work leading up to ARI's		
		Exhibit MSS-3	Copy of electronic file pPGT (Sequence Listing		
		Exhibit MSS-4	Copy of gal sequence		
		Exhibit MSS-5	Copy of Figure 5		
		Exhibit MSS-6	Table Conservative Amino Acid Distributions		
•	AV	Statutory Declaration of Mauro S. Sandrin from Victoria, Australia (11/3/00) including listed immediately below:			
		Exhibit MSS-7	Sequence Listing		
,		Statutory Declaration of Susan Sau Heng Wong f exhibits listed immediately below:	rom Victoria, Australia (11/3/00) including		
	AW	SSHW-1	Curriculum Vitae of Susan S. H. Wong		
		SSHW-2	Chapter 3 of the Australian Patent Office Manual of Practice and Procedure		
	AX	Statutory Declaration of Carol E. Scott from New South Wales, Australia (6/23/00)			
1.00	AY	Statutory Declaration of Beverley Hore from Victoria, Australia (6/23/00)			
	AZ	Statutory Declaration of Kirsten Neilson from New South Wales, Australia (7/4/00)			
	AAA	Statutory Declaration of Kirsten Neilson from New South Wales, Australia (7/6/00)			
	ABB	Statutory Declaration of Gretchen Sleeman of New South Wales, Australia (7/7/00)			
	ACC	Statutory Declaration of Margaret Pratt of Victoria, Australia (7/10/00)			
	ADD	Statutory Declaration of Margaret Pratt of Victoria, Australia (7/10/00)			
	AEE	Statutory Declaration of Beverley Hore from Victoria, Australia (10/6/00)			
	AFF	Statutory Declaration of Bruce E. Loveland from	Victoria, Australia (7/6/00)		
	AGG	Statutory Declaration of Richard G. Tearle (4/9/0			
		Éxhibit RGT-1	Curriculum Vitae of Richard G. Tearle		
		Exhibit RGT-2 Copy of page of laboratory notebook Gordon Tearle dated 9/27/74			
v	АНН	Affidavit of Allan Robins from Georgia, U.S.A. (below:			
		Exhibit AR-1	Brief CV of Dr. Allan Robins and selected Publications		
		Exhibit AR-2	Confidentiality Agreement		
	1	Exhibit AR-3	Overcoming Hyperacute Rejection		

Examiner Signature	Date Considered 9-3 v-03
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U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06868-005002

Application No. 5

Sheet 3 of

Information Disclosure Statement by Applicant (Use several sheets if necessary) Anthony J.F. D'Apice et al.

Filing Date Group Art Unit

December 4, 1997

1633

(37 CFR §1.98(b))

	Other D	ocuments (inclu	ude Author, Title, Date, and Place of Publication		
Examiner	Desig.		_		
Initial	ID_		Document		
	<u> </u>	Exhibit AR-4	Letter of Intent dated 6/24/93		
			n of Dr. Anthony J. F. D'Apice (11/2/01) including exhibits listed immediately		
		below:	/		
		AJFD-1	Curriculum Vitae of Anthony D'Apice		
		AJFD-2	Application to Baxter Healthcare Corp		
	All	AJI D-2	Extramural Grant Program		
	An	AJFD-3	Research Agreement		
		AJFD-4	Xenograft Project (6/13/91)		
		AJFD-5	Funding of Xenograft Project (11/13/92)		
	i	AJFD-6	Research Agreement		
		AJFD-7	Passport of Anthony J. F. D'Apice		
		Statutory Declaratio	n of Julie M. Wilkie from Victoria, Australia (7/5/00), with the exhibits		
	1	immediately below:			
		** *** *	Letters from The Austin Research Institute to Dr John Smeaton, dated 20		
		JMW-1	April and 8 June 1993; Dr Smeaton's reply dated 16 June 1993		
			Programme and abstracts for the eleventh scientific meeting of the		
		JMW-2	Transplant Society of Australia and New Zealand, held in Canberra on 5-7		
			May 1993, front page, pgs. 1, 5, 7, 51 and 62		
			17 Programme and abstracts for the second International Congress on		
		JMW-4	Xenotransplantation held in Cambridge, England 26-29 September 1993 -		
			front pages, pages 8, 18,211 and letter from Congress organisations to		
			Mauro S. Sandrin August 1993		
		JMW-8	Australian Patent No. 690100		
		JMW-10	Provisional Patent Application PL7854 filed March 16, 1993		
	AJJ	JMW-13	Australian 15445/95 (Bresagen parent application)		
		JMW-14	Australian-B 695373 (15445/95) – (Bresagen parent application)		
			Prosecution documents of Au-A 77428/98 comprising Examiner's first		
			report of October 1, 1997 and second report of May 8, 1998, responses		
		JMW-15	thereto dated April 20 and June 20, 1998, request to amend and proposed		
			amendments		
			Prosecution documents of AU-A 77428/98 comprising Examiner's first		
	1	JMW-16	report of April 12, 1999, response thereto dated July 30, 1999, request to		
			amend and proposed amendments		
	-		B. Hogan, F. Constantini E. Lacy, "Manipulating the mouse embryo - a		
	/	JMW-29	laboratory manual', Cold Spring Harbour Laboratory, 1986, front pages		
			Certificate of the Registration of a Company showing that Bresatec Ltd.		
		JMW-36	changed its name to Bresagen Ltd.		
	/	Statutory Declaration	on of Julie M. Wilkie, Patent Attorney at Callinan Lawrie, Victoria, Australia		
			xhibits immediately listed below:		
	AKK	Exhibit JMW-39	McKenzie et al. (1968) The Lancet pp 386		
	1				

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

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Sheet <u>4</u> of <u>4</u>

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06868-005002

Application No. 08/984,900

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant

Anthony J.F. D'Apice et al.

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Group Art Unit

(37 CFR §1.98(b))

(Other D	ocuments (includ	le Author, Title, Dat	e, and Place of Publication)	
Examiner Initial	Desig. ID	Desig.			
		Statutory Declaration (8/9/00) including Exh 14 and JMW-34) listed	ibits JMW-41 –JMW43 (re I below:	Attorney at Callinan Lawrie, Victoria, Australia eplacement Exhibits for Exhibits JMW-11-JMW-	
	ALL	JMW-41	US Patent No. 188607 f	filed January 1994 ("Bresagen priority document 1	
		JMW-42		5) – ("Bresagen parent patent")	
		JMW-43	D. Cosgrove et al. (199 66:1051-1066	1) "Mice lacking MHC Class II Molecules", Cell	
	AMM	Statutory Declaration of	of Ian Farquar Campbell M	cKenzie of Victoria, Australia (7/27/00)	
	ANN	Statement of Grounds	of Opposition and Particula	ars Relating to Each Ground (4/7/00)	
	A00	Application for an Exte	an Extension of Time for lodging Evidence-in-Support (10/9/00)		
	APP	Application for an Ext	Evidence-in-Support (7/7/00)		
, , , , , , , , , , , , , , , , , , , ,	AQQ	Statutory Declaration of John Ox on 1/8/02			
	ARR	Statutory Declaration of Prof. Robert Charles Atkins on (1/11/02) with exhibelow:		ins on (1/11/02) with exhibit 1 listed immediately	
		Exhibit RCA-1		Curriculum Vitae of Robert Charles Atkins	
	ASS	Statutory Declaration of immediately below:	of Associate Professor Emn	na Whitelaw (4/4/02) with exhibit 1 listed	
		Exhibit EW-1		Curriculum Vitae of Emma Whitelaw	
		Statutory Declaration of	n of Robynne Lyndsay Sanders (4/2/02) with exhibits listed immediately below		
	ATT	Exhibit RLS-1		Application to Baxter Healthcare Corporation Extramural Grant Program	
	AII	Exhibit RLS-2		Research Agreement	
		Exhibit RLS-3		Research Agreement	
l.		Exhibit RLS-4		PNAS Online	

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